

ERRORS AND OMISSIONS LIABILITY Application

	ESTERN WORLD INSURANCE COMPANY TUDOR INSURANCE COMPANY STRATFORD INSU						
are	FICE: This Application is for a CLAIMS-MADE AND REPORTED COVERAGE FORM. The Co applying for is limited to liability for only those "claims" that are first made against you a ng the policy period.						
1.	Name of Firm:						
	Address:						
	City: State: Zip	:					
2.		te Established:					
3.	How long have you been engaged in current occupation or business? Years						
4.	Is the firm owned by, associated with or controlled by any other business, or are you engaged in any other profession or business?	☐ Yes ☐ No					
	If Yes, give details:						
5.	Are you seeking insurance coverage for any other business?	☐ Yes ☐ No					
6.	Describe in detail the nature of the professional or business activities for which insurance is desired.						
7.	Gross Revenue: Indicate year in spaces provided. Current Year:\$						
	Prior Year: Next Year: \$						
8.	Do you use independent contractors?	☐ Yes ☐ No					
	If Yes, how many and what percent of your total receipts are subcontracted?						
	# of subcontractors:% of total receipts						
	Does the Applicant require its subcontractors to maintain professional liability insurance?	☐ Yes ☐ No					
	Do contracts with subcontractors have hold harmless or indemnity agreements that benefit the Applicant?	☐ Yes ☐ No					
	Explain what types of services are subcontracted:						
9.	What percentage of the Applicant's services are provided under written agreement? %						
10.	Are Applicant's contracts reviewed by an outside law firm that you hire?	☐ Yes ☐ No					
11.	Does the Applicant maintain and adhere to formalized corporate governance procedures which control the Applicant's business activities to ensure compliance with all federal, state and local statutes which pertain to the conduct of the Applicant's business?	☐ Yes ☐ No					
12.	Does the Applicant have a process in place to handle and resolve client complaints?	☐ Yes ☐ No					
13.	Does the Applicant require continuing education for all professional employees?	☐ Yes ☐ No					
14. 15.	Does the Applicant provide formalized in-house training for all professional employees? Does the Applicant have any risk management procedures established and in use?	☐ Yes ☐ No ☐ Yes ☐ No					

16.	Provide details of General Liability insurance in force.							
	Company		Limit	Deductible	Policy Term			
	Please provide details of Errors and Omissions insurance carried during the last three (3) years.							
	Company	Limit	Deductible	Premium	Policy Term			
	Is your expiring Policy/Coverage	Form a CLAIMS-MA	NDE AND REPORTE	D COVERAGE FORM	? □ Yes □ No			
	Is your expiring Policy/Coverage Form a CLAIMS-MADE AND REPORTED COVERAGE FORM ? Yes If Yes, give Retroactive Date.							
	MS QUESTIONS							
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	TE THAT ITEMS 18. THROU VERAGE APPLICANTS.	GH 22. MUST BE	COMPLETED FOI	R ALL E&O AND C	YBER-LIABILITY			
	Has any Application for Errors a	and Omissions or simi	lar insurance been m	nade on vour behalf	☐ Yes ☐ No			
	your firm or present partners, or	1e3 140						
	cancelled or refused renewal?							
	If Yes, give details below or attach an information sheet.							
19.	Have any claims, suits or proceedings been made during the past five (5) years against you, your							
	firm, your predecessors in business or against any present partners, owners, officers or							
	employees? If Yes, give details below or attach an information sheet.							
	ii res, give details below of attach an information sheet.							
20.	Are you aware of any alleged act, circumstance, situation or error or omission which may result in a "claim" being made against you or any of the persons or firm described on this application?							
	If Yes, give details below or attach an information sheet.							
04	During the post five veces has t	uha Ammiliaant aranya	of its muscle seems in	husiasas subsidiavias	☐ Yes ☐ No			
21.	During the past five years, has the Applicant, or any of its predecessors in business, subsidiaries or affiliates, or any of the principals, directors, officers, partners, professional employees or							
	independent contractors ever been the subject of a disciplinary action as a result of professional							
	activities? If Yes, give details below or attach an information sheet.							
	- 100, give details below of attach an information sheet.							
22.	During the last three years has	anyono allogad that t	hair paraanal inform	ation was	☐ Yes ☐ No			
	compromised, or have you notified customers that their information was or may have been							
	compromised, as a result of your activities? If Yes, give details below or attach an information sheet.							
	ii 163, give details below of attacht an information sheet.							

FRAUD WARNING: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO IS GUILTY OF INSURANCE FRAUD. THIS IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

(FOR NEW YORK INSUREDS: AN ACT OF INSURANCE FRAUD SHALL BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED \$5,000 AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.)

YOU HEREBY DECLARE that the above statements and particulars are true and that you have not suppressed or misstated any material facts and you agree that this Application will be the sole basis of any subsequent contract or insurance with us. Signature on the Application does not bind you or us to complete the insurance.

Application must be signed and dated by principal, partner, officer or director of the firm.

Date	Signature of Applicant	Title

PLEASE NOTE: COMPLETION AND SUBMISSION OF THIS APPLICATION IS FOR THE PURPOSE OF SECURING A PREMIUM QUOTATION ONLY. NO COVERAGE WILL BE EFFECTED UNTIL RECEIPT OF WRITTEN INSTRUCTIONS AND PREMIUM PAYMENT. ANY SUBSEQUENT CONTRACT ISSUED WILL BE IN FULL RELIANCE UPON THE STATEMENTS AND REPRESENTATIONS MADE IN THIS APPLICATION AND THIS APPLICATION WILL BE MADE A PART OF THE COVERAGE FORM. A SIGNED APPLICATION DATED NOT MORE THAN 45 DAYS PRIOR TO THE INCEPTION DATE WILL BE REQUIRED IN THE EVENT COVERAGE IS EFFECTED.